## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )
Shunpei YAMAZAKI et al
Serial No.: 09/544,801
Filed: April 7, 2000
Art Unit: 2826 )
Examiner: Fazli Erdem )
For: SEMICONDUCTOR DEVICE AND METHOD )  FOR FARRICATING THE SAME )

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. Pursuant to 37 CFR §1.98(a)(2)(i) copies of cited US patent and US patent application publications are not submitted herewith.

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not

possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

## FEE

A first office action, notice of allowance or issue fee notification has been received in this case, so the required fee is attached. If any additional fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

Mark J. Hurphy

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LIST OF PUBLICATIONS CITED BY APPLICANT			Attorney Docket No. SEL 174			<u>Serial No.</u> 09/544,801			
			Applicant Shunpei YAMAZAKI et al						
			<u>Filing Date</u> April 7, 2000			Group 2826			
US PATENT DOCUMENTS									
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME					
	5,245,207	09/14/1993		Mikoshiba e	t al				
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE		COUNTRY		English Abstract	English Translation		
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  (Include name of author (in CAPITAL LETTERS), title of article or item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages(s), volume-issue number(s), publisher, city and/or country where published).									
EXAMINER:				DATE CONSIDERED:			<b>)</b> :		
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